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06/22/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Sheng Quan et al.

Title: PLANT GENES INVOLVED IN DEFENSE AGAINST PATHOGENS

Attorney Docket No.: 1360.003US2

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

Return postcard.
 Utility Patent Application under 37 CFR § 1.53(b) comprising:
 Specification (1855 pgs, including claims numbered 1 through 43 and a 1 page Abstract).
 Unsigned Combined Declaration and Power of Attorney (7 pgs).
 Communication Under 37 CFR § 1.821 (1 page).
 Two copies (CD-ROM) of the Sequence Listing in ASCII computer readable form in compliance with 37 CFR §§ 1.821(c) and 1.52(e)
 One copy (CD-ROM) of the Sequence Listing in ASCII computer readable form in compliance with 37 CFR §§ 1.821(e) and 1.52(e)
 Three transmittals for CD-ROMs in compliance ~~copies~~ with 37 CFR § 1.52(e)(3)(ii)

The filing fee (NOT ENCLOSED) will be calculated as follows:

| | No. Filed | No. Extra | Rate | Fee |
|---|--------------|-----------|--------|------------------|
| TOTAL CLAIMS | 57 - 20 = | 37 | x 18 = | \$666.00 |
| INDEPENDENT CLAIMS | 8 - 3 = | 5 | x 80 = | \$400.00 |
| [X] MULTIPLE DEPENDENT CLAIMS PRESENTED | | | | \$270.00 |
| BASIC FEE | | | | \$710.00 |
| | TOTAL | | | \$2046.00 |

THE FILING FEE WILL BE PAID UPON RECEIPT OF THE NOTICE TO FILE MISSING PARTS.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Janet E. Embretson
Atty: Janet E. Embretson
Reg. No. 39,665

Customer Number 21186

"Express Mail" mailing label number: EL671641675US

Date of Deposit: June 23, 2001

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sheng Quan et al. Examiner: Unknown
Serial No.: Unknown Group Art Unit: Unknown
Filed: Herewith Docket: 1360.003US2
Title: PLANT GENES INVOLVED IN DEFENSE AGAINST PATHOGENS

COMMUNICATION UNDER 37 C.F.R. § 1.821

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Sir:

To conform the above-referenced application to the requirements of 37 C.F.R. §§ 1.821 through 1.825, submitted herewith is an electronic copy (CD-ROM, in duplicate; COPY #1 and COPY #2) of the SEQUENCE LISTING.

In accordance with 37 C.F.R. § 1.821(e), a copy of the above-submitted SEQUENCE LISTING in ASCII computer readable form is also submitted herewith.

In accordance with 37 C.F.R. § 1.821(c) and 37 C.F.R. § 1.821(e), it is respectfully submitted that the SEQUENCE LISTING on CD ROM COPY #1 and CD ROM COPY #2 filed in accordance with 37 C.F.R. § 1.821(c) and the electronic version of the SEQUENCE LISTING filed in accordance with 37 C.F.R. § 1.821(e) filed herewith are the same.

Respectfully submitted,

SHENG QUAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date June 23, 2001

By Janet E. Embretson
Janet E. Embretson
Reg. No. 39,665

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Due Date: June 23, 2001

Examiner: Unknown

Group Art Unit: Unknown

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items (as indicated with an "X"):

A return postcard.

Compact disc (CD-ROM) (Electronic Copies of the Sequence Listing); IBM-PC format, MS-Windows, date recorded June 23, 2001; CD 010623_1113; File 382636; size 12, 203 KB

Express Mail Label No. EL671641675US

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

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P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

(GENERAL)